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PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 04860.P2654

(maximum 12 characters)

First Named Inventor Alexander M. Rosenberg

Title: Systems and Methods for Using Media Upon Insertion into a Data Processing System

Express Mail Label No. EL431891590US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2.  Applicant Claims Small Entity Status. (37 CFR 1.27)

3.  Specification (Total Pages 32)  
(preferred arrangement set forth below)  

- Descriptive Title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawings(s) (35 USC 113) (Total Sheets 6)

5.  Oath or Declaration (Total Pages 5)

- a.  Newly Executed (Original or Copy)
- b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
  - i.  **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
  - c.  Unsigned.

6.  Application Data Sheet. (37 CFR 1.76)

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a.  Computer Readable Form (CRF)
- b.  Specification Sequence Listing on:
  - i.  CD-ROM or CD-R (2 copies); or
  - ii.  paper
- c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & documents(s))

11/28/00

10.  a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)  
 b. Power of Attorney  
 11.  English Translation Document (if applicable)  
 12.  a. Information Disclosure Statement (IDS)/PTO-1449  
 b. Copies of IDS Citations  
 13.  Preliminary Amendment  
 14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
 15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)  
 16.  Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  
 17.  Other: Copy of postcard with Express Mail Certificate of Mailing

**18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

Continuation Of Prior Application No.: \_\_\_\_\_ Divisional Examiner \_\_\_\_\_ Continuation-in-part (CIP) Group Art Unit \_\_\_\_\_

(which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_ which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_) (list entire chain of priority)

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18B. Statement under 37 CFR 3.73(b) for continuing application:**

The undersigned states that \_\_\_\_\_ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. \_\_\_\_\_ Frame No. \_\_\_\_\_ (or a copy of which is attached).

**19. Correspondence Address**

Customer Number or Bar Code Label \_\_\_\_\_  
 or \_\_\_\_\_ (Insert Customer No. or Attach Bar Code Label here)  
 Correspondence Address Below

NAME James C. Scheller, Jr.

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): James C. Scheller, Jr. Registration No.: 31,195

Signature: James C. Scheller, Jr. Date: 1/8/2005

**FEE TRANSMITTAL FOR FY 2001****TOTAL AMOUNT OF PAYMENT (\$)** 2,126.00

Complete if Known:

Application No. \_\_\_\_\_

Filing Date January 8, 2001First Named Inventor Alexander M. Rosenberg

Group Art Unit \_\_\_\_\_

Examiner Name \_\_\_\_\_

Attorney Docket No. 04860.P2654**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2.  Payment Enclosed:

 Check Money Order Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code	(\\$)	Code	(\\$)		
101	710	201	355	Utility application filing fee	\$710.00
106	320	206	160	Design application filing fee	_____
107	490	207	245	Plant filing fee	_____
108	710	208	355	Reissue filing fee	_____
114	150	214	75	Provisional application filing fee	_____
<b>SUBTOTAL (1)\$</b>					<b>710.00</b>

**2. EXTRA CLAIM FEES**

Total Claims	- 20 =	52	X	18.00	=	936.00
Independent Claims	9 - 3 =	6	X	80.00	=	480.00
Multiple Dependent Claims	0	0	X	0	=	0

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code	(\\$)	Code	(\\$)		
103	18	203	9	Claims in excess of twenty	936.
102	80	202	40	Independent claims in excess of 3	480.
104	270	204	135	Multiple dependent claim	_____
109	80	209	40	Reissue independent claims over original patent	_____
110	18	210	9	Reissue claims in excess of 20 and over original patent	_____
<b>SUBTOTAL (2) \$</b>					<b>1416.00</b>

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<b>Large Entity</b>	<b>Small Entity</b>	<b>Fee Description</b>	<b>Fee Paid</b>
<b>Fee Code</b>	<b>Fee Code</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	710	246	355
149	710	249	355
179	710	279	355
169	900	169	900
Other fee (specify) _____			
Other fee (specify) _____			

**SUBTOTAL (3)\$ 0**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: James C. Scheller, Jr.

Signature:  Date: 1/8/2001

Reg. Number: 31,195 Telephone Number: (408) 720-8300

JC903 U.S. PTO  
09/757406

**EXPRESS MAIL CERTIFICATE OF MAILING**

"Express Mail" mailing label number: EL 431 891 590 45  
Date of Deposit: JANUARY 8, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

CONNIE THAYER

(Typed or printed name of person mailing paper or fee)

Connie Mayer

**of person mailing**

**(Date signed)**

Serial/Patent No : <b>★★★</b>	Filing/Issue Date: <b>January 8, 2001</b>
Client <b>Apple Computer, Inc.</b>	Title: <b>SYSTEMS AND METHODS FOR USING MEDIA UPON INSERTION INTO A DATA PROCESSING SYSTEM</b>
BSTZ File No.: <b>04860,P2654</b>	Atty/Secty Initials: <b>JCS/clt</b>
Date Mailed: <b>1-8-2001</b>	Docket Due Date: <b>★★★</b>
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:	
<input type="checkbox"/> Amendment/Response (____ pgs.) <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate) <input checked="" type="checkbox"/> Application - Utility (32 pgs. with cover and abstract) <input type="checkbox"/> Application - Rule 153(b) Continuation (____ pgs.) <input type="checkbox"/> Application - Rule 153(b) Divisional (____ pgs.) <input type="checkbox"/> Application - Rule 153(b) CIP (____ pgs.) <input type="checkbox"/> Application - Rule 153(d) CPA Transmittal (____ pgs.) <input type="checkbox"/> Application - Design (____ pgs.) <input type="checkbox"/> Application - PCT (____ pgs.) <input type="checkbox"/> Application - Provisional (____ pgs.) <input type="checkbox"/> Assignment and Cover Sheet <input type="checkbox"/> Certificate of Mailing <input type="checkbox"/> Declaration & POA (5 pgs.) <b>unsigned</b> <input type="checkbox"/> Disclosure Docs & Opt. & Copy of Inventor's Signed Letter (____ pgs.) <input type="checkbox"/> Drawings (6 # of sheets includes 6 figures) <input type="checkbox"/> Other _____	
<input checked="" type="checkbox"/> Express Mail No: <b>EL431891590US</b> <input checked="" type="checkbox"/> Check No <b>40156</b> <input type="checkbox"/> _____ Month(s) Extension of Time <input type="checkbox"/> Amt. <b>\$2126.00</b> <input type="checkbox"/> Information Disclosure Statement APTO 149 (____ pgs.) <input type="checkbox"/> Check No _____ <input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Amt. _____ <input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Petition for Extension of Time <input type="checkbox"/> Petition for _____ <input checked="" type="checkbox"/> Postcard <input type="checkbox"/> Power of Attorney (____ pgs.) <input type="checkbox"/> Preliminary Amendment (____ pgs.) <input type="checkbox"/> Reply Brief (____ pgs.) <input type="checkbox"/> Response to Notice of Missing Parts <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business <input checked="" type="checkbox"/> Transmitter Letter, in duplicate <b>3 pgs</b> <input checked="" type="checkbox"/> Fee Transmittal, in duplicate <b>2 pgs</b>	

